



2642 ~~GRANT~~ ~~2001~~   
 Patent

Docket No.: TLME-99-001.1.US

## Invention Disclosure Statement Transmittal

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	3/23/01	Name of Person Making the Deposit:	Katherine Rinaldi	Signature of the Person Making the Deposit:	<i>Katherine Rinaldi</i>
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Hadi Partovi, Michael S. McCue, Angus MacDonald Davis, Michael McCue, Anthony Joseph Accardi, Michael Macdougall Plitkins

Serial No.: 09/431,002 Group Art Unit: 2742

Filed: 11/1/99 Examiner: Not yet assigned

Title: STREAMING CONTENT OVER A TELEPHONE INTERFACE

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

#### Information Disclosure Statement Transmittal

Transmitted herewith is the following:

Formal drawings, totaling ..... sheets.  
Informal drawings, totaling ..... sheets.  
Certification for PTO Consideration  
 Information Disclosure statement ( 1 sheets)  
Information Disclosure statement and late filing fee  
 Form 1449  
Petition for Extension of Time  
Other: .....

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#### **Fee Calculation (for other than a small entity)**

Fee Items				Fee Rate	Total
Petition for Extension of Time (fee calculated elsewhere)				\$ .00	0.00
Information Disclosure Statement, late filing				\$240.00	0.00
Other:					
<b>Total Fees</b>					<b>0.00</b>

#### **PAYMENT OF FEES**

1. The full fee due in connection with this communication is provided as follows:

[ ] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.

[ ] A check in the amount of \$

[ X ] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.



Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 3/23/2001

By:   
Anthony C. Murabito  
Reg. No. 35,295



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: TLME-99-0111-1 US  
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Inventor(s): Partovi et al.

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Serial No.: 09/513,237

Group Art Unit: 2743

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Filed: 2/24/00

Examiner: Not yet assigned

Title: METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A TELEPHONE INTERFACE WITH LOCALE BASED PERSONALIZATION

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Washington, D.C. 20231

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Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

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The citations referenced herein, copies attached, may be material to the examination of the above identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

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This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

<u>Pat. No.</u>	<u>Pat. Title</u>	<u>Grant Date</u>
6,067,348	OUTBOUND MESSAGE PERSONALIZATION	5/23/00
5,915,001	SYSTEM AND METHOD FOR PROVIDING AND USING UNIVERSALLY ACCESSIBLE VOICE AND SPEECH DATA FILES	6/22/99
5,953,392	METHOD AND APPARATUS FOR TELEPHONICALLY ACCESSING AND NAVIGATING THE INTERNET	9/14/99
5,884,266	AUDIO INTERFACE FOR DOCUMENT BASED INFORMATION RESOURCE NAVIGATION AND METHOD THEREFOR	3/16/99
5,884,262	COMPUTER NETWORK AUDIO ACCESS AND CONVERSION SYSTEM	3/16/99
5,465,378	REPORT GENERATING SYSTEM	11/7/95
5,799,063	COMMUNICATION SYSTEM AND METHOD OF PROVIDING ACCESS TO PRE-RECORDED AUDIO MESSAGES VIA THE INTERNET	8/25/98
5,497,373	MULTI-MEDIA INTERFACE	3/5/96
5,926,789	AUDIO-BASED WIDE AREA INFORMATION SYSTEM	7/20/99
5,945,989	METHOD AND APPARATUS FOR ADDING AND ALTERING CONTENT ON WEBSITES	8/31/99



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**Foreign Patent or Published Foreign Patent Application**

	COMMUNICATIONS SYSTEM FOR DELIVERING PROMOTIONAL MESSAGES	12/10/98
EP0847179A2	SYSTEM AND METHOD FOR VOICED INTERFACE WITH HYPERLINKED INFORMATION	6/10/98
EPO859500A2	METHOD AND APPARATUS FOR BROWSING THE INTERNET WITH A TELECOMMUNICATIONS DEVICE	8/19/98

**Other Documents**

Mazor et al "The Design of Speech-Interactive Dialogs for Transaction-Automation Systems," GTE Laboratories, Inc., Waltham, MA, USA. Speech Communication 17 (1995) 313-320

Hemphill et al, "Surfing the Web by Voice," ACM Multimedia '95, San Francisco, California USA, pages 215-222.

Daryle Gardner-Bonneau, "Human Factors and Voice Interactive Systems," 1999 Kluwer Academic Publishers, Norwell, MA, USA, "IVR For Banking and Residential Telephone Subscribers Using Stored Messages Combined With a new Number-to-Speech Synthesis Method." pages 237-255.

Lau et al, "WebGALAXY: Beyond Point and Click - a Conversational Interface to a Browser," Computer Networks and ISDN Systems 29 (1997) 1385-1393.

"VoxML 1.0 Application Development Guide," Revision 1.0 Motorola, Inc. Naperville, IL., USA September 1998

Hemphill et al, "Speech-Aware Multimedia." IEEE Multimedia, Spring 1996, pages 74-78.

Mazor et al, "Oasis - A Speech Recognition System for Telephone Service Orders," GTE Laboratories, Inc., Waltham, MA, USA.

Frankie James, "AHA: audio HTML access", Computer Networks and ISDN Systems, Vol. 29 (1997), pp. 1395-1404.

Samuel Bayer, "Embedding Speech in Web Interfaces", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 1684-1687.

J.L. Gauvain., et al., "Speech Recognition for an Information Kiosk", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 849-852.

Marilyn A. Walker, et al., "What Can I Say?: Evaluating a Spoken Language Interface to Email", Human Factors in Computing Systems (CHI-98), Conference Proceedings, ACM Press, April 1998, pp. 582-589.

Alexander I. Rudnicky, et al., "SpeechWear: A mobile speech system", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 538-541.

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Maekawa, Katsura, et al., "The WWW Browser System with Spoken Keyword Recognition", Transactions of Information Processing Society of Japan, Vol. 40, No. 2, Feb. 1999, pp.443-452.

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Chieko Asakawa, et al., "User Interface of a Non-visual Web Access System", Transactions of Information Processing Society of Japan, Vol. 40, No. 2, Feb. 1999, pp.453-459.

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Hideharu Nakajima, et al., "Multimodal Interaction with WWW Browers - An Interface Using Mouse Movement and Voiced Command", Transactions of Information Processing Society of Japan, Vol. 39, No. 42, April 1998, pp.1127-1136

Rodney Brooks, et al., "Voice Controlled Telephone Banking", Proceedings - AVIOS '88, Voice I/O Systems Applications Conference, October 1988, 6 pages.

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